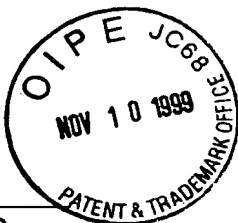


**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

03CD

In re PATENT (application) of  
Inventor(s): HENSHAW



Appln. No.: 09 | 393,261  
Series Code ↑      ↑ Serial No.

Atty. Dkt PM 254839 | DELMAR  
M#      Client Ref

Filed: September 10, 1999

Group Art Unit : 1614

Patent No.  
Issued:

Examiner: Not Assigned

Allowed (date):

Title: RODENTICIDE

Issue Batch No.:

Date: November 10, 1999

Asst. Commissioner of Patents  
Washington, D.C. 20231

Attention: Refund Section,  
Accounting Division,  
Office of Finance

**SMALL ENTITY CLAIM AND REFUND REQUEST**

Sir:

Since the ☒ filing fee ☐ issue fee ☐ maintenance fee ☒ other fee: petition fee under Rule 17(m)  
was paid within the last two months\*, i.e., on September 10, 1999, please refund one-half of that fee by  
crediting \$1111 to our Account/Order Nos. shown below on the basis of the 1 (No.) Small Entity  
Statement(s) attached hereto.

(Our Deposit Account No. 03-3975)

(Our Order No. 81816 | 254839  
C#      M#

Respectfully submitted,

**Pillsbury Madison & Sutro LLP**  
**Intellectual Property Group**

1100 New York Avenue, N.W.  
Ninth Floor East Tower  
Washington, D.C. 20005-3918  
Tel: (202) 861-3000

By: Atty: Gary R. Tanigawa

Reg. No. 43,180

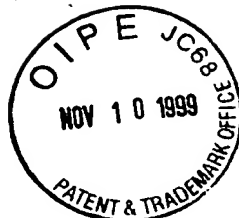
Sig: *Gary Tanigawa*

Fax: (202) 822-0944  
Tel: (202) 861-3546

Enclosures  
Atty/Sec: GRT/maf

\*Two months is not extendible!  
(PTO Rule 28(a))

Note: File this sheet in  
duplicate with PTO  
receipt (PAT-103A)



Inventor(s): HENSHAW  
Appl. No.: 09/393,261 or Patent No.: \_\_\_\_\_  
Filed: September 10, 1999 or Issued.: \_\_\_\_\_  
Title: RODENTICIDE

(Atty. Dkt.)  
254839/DELMAR  
M# / Client Ref.

SMALL ENTITY STATEMENT CLAIMING SMALL ENTITY STATUS  
(37 CFR 1.9(d) and 1.27 (c)) - **SMALL BUSINESS CONCERN**

I hereby state that I am

- ☐ the owner of the small business concern identified below:  
☒ an official of the small business concern empowered to act on behalf of the concern identified below:  
NAME OF CONCERN Delmar Products, Ltd.  
ADDRESS OF CONCERN Cleary Court, 21-23 St. Swithins Lane, London EC4A 8AD, United Kingdom

**I hereby state** that the above identified small business concern qualifies as a small business concern as defined in 13 CFR 121.12, and referenced in 37 CFR 1.9(d), for purposes of paying reduced fees under Section 41(a) and (b) of Title 35, United States Code, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

**I hereby state** that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the above entitled invention, invented by the above inventor(s) and described in:

- x → ☐ the specification filed herewith,  
one → ☒ Application No. 09/393,261, filed September 10, 1999  
box → ☐ Patent No. \_\_\_\_\_, issued

If the rights held by the above identified small business concern are not exclusive, each small entity individual, concern or organization having rights to the invention is listed in (A) and (B) below and no rights to the invention are held by any person, other than the inventor, who could not qualify under 37 CFR 1.9(c) as an independent inventor if that person had made the invention, or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

(A) FULL NAME of assignee/licensee/grantee/conveyee\*

ADDRESS

- ☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

(B) FULL NAME of assignee/licensee/grantee/conveyee\*

ADDRESS

- ☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

\*NOTE: Separate statement is required from each person, concern or organization named in (A) and (B) above having rights to the invention, averring to his/her/its status as a small entity. (37 CFR 1.27)

I acknowledge the duty to file, in this case, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

NAME OF PERSON SIGNING Simon Rubins

TITLE OF PERSON OTHER THAN OWNER Company Secretary

ADDRESS OF PERSON SIGNING Cleary Court, 21-23 St Swithins Lane, London  
EC4A 8AD United Kingdom

SIGNATURE [Signature] DATE 1st November 1999